

1 *See A6* *5*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No..... 09361,782
3 Filing Date..... 7/26/99
4 Inventorship..... Deen et al.
5 Applicant..... Microsoft Corporation
6 Attorney's Docket No. MS1-390US
Title: Methods and Systems for Preparing Extensible Markup Language (XML) Documents and for
5 Responding to XML Requests



6 **TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

7 To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231
8
9 From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500,
10 Spokane, WA 99201

11 The following enumerated items accompany this transmittal letter and are being submitted for the
matter identified in the above caption.

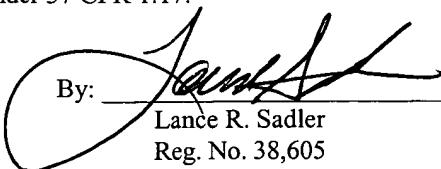
12 1. Transmittal letter including Certificate of Mailing
13 2. Notice to File Missing Parts of Application
14 3. Executed Declaration
4. Assignment with Recordation Form Cover Sheet
5. Return Post Card

15 Large Entity Status Small Entity Status

16 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
should be charged to the Deposit Account indicated below.*

17 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
18 Account No. 50-0463 in connection with any patent application filing fees under 37 CFR 1.16, and any
processing fees, including any necessary extension fees under 37 CFR 1.17.

19 Date: 9/17/99

By: 

Lance R. Sadler
Reg. No. 38,605

21 **CERTIFICATE OF MAILING**

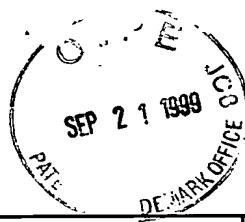
22 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
23 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
below-indicated date. Any Express Mail No. has also been marked on the listed items.

24 Express Mail No. (if applicable) _____

25 Date: 9/17/99

By: 

Dana L. Calhoun

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/361,782 07/26/99 DEEN

B MSI-390US

0242/0817

LANE R SADLER
LEE AND HAYES PLLC
SUITE 500
421 WEST RIVERSIDE AVENUE
SPOKANE WA 99201

NOT ASSIGNED

2771

DATE MAILED:

08/17/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) **non-small entity is \$** 160.00

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ 160.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 218.00 for 27 total claims over 20.

\$ 30.00 for 5 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

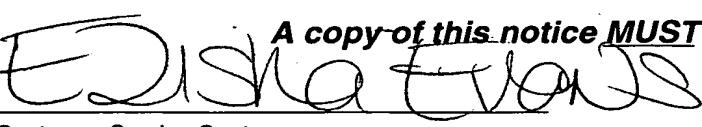
8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/24/1999 NPIRASASD 0000004
0000004 760.00
0000004 130.00
0000004 390.00
0000004 485.00

01 FC:101
02 FC:105
03 FC:102
04 FC:103

U.S. GPO: 1998-446-824